FORM PTO-1449 (Modified)

U.S. Department of Commerce, Patent and Trademark Office

Docket No.

99-102/1D

Serial No.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicants

Wilbur G. Catabay et al.

099641 099641 	
101	

					Filing Da Herewith		Group 60 2813	
		•	υ.	S. Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Da If Appropria	
IAL	AA	5,874,367	2/23/99	Dobson	438	787	12/28/	24
1	AB	5,904,154	5/18/99	Chien et al.	134	1.2	7/24/97	
	AC	5,882,489	3/16/99	Bersin et al.	204	192.35	4/26/96	
	AD	5,858,879	1/12/99	Chao et al.	438	725	6/6/97	
	AE	3,012,861	12/12/61	Ling	23	223.5	1/15/60	
	AF	3,178,392	4/13/65	Kriner	260	46.5	4/9/62	
	AG	3,832,202	8/27/74	Ritchie	106	287	8/8/72	
	AH	3,920,865	11/18/75	Läufer et al.	427	220	4/6/72	
//	AI	4,705,725	11/10/87	Glajch et al.	428	405	11/28/86	
<b>V</b>	AJ	5,194,333	3/16/93	Ohnaka et al.	428	405	12/18/90	
W	AK	5,874,745	2/23/99	Kuo	257	59	8/5/97	
			Fore	ign Patent Documents	<b>.</b>			
	,						Translatio	n
		Document Number	Date	Country	Class	Subclass	Yes	No
MC	AL	DE 198 04 375 A1	7/1/99	Germany	H 01 L	21/312		х

<del> </del>	<del></del>	1	· · · · · · · · · · · · · · · · · · ·			Translation		
		Document Number	Date	Country	Class	Subclass	Yes	No
MC	AL	DE 198 04 375 A1	7/1/99	Germany	H 01 L	21/312		х
Ŷ	AM	EP 0 706 216 A2	4/10/96	Europe	H 01 L	23/532	N/A	
	AN	EP 0 949 663 A2	10/13/99	Europe	H 01 L	21/312	N/A	
V	AO	63003437	1/8/88	Japan	H 01 L	21/90	X-Abstract Only	
VXV	AP	WO 99/41423	8/19/99	PCT	C 23 C		N/A	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Bothra, S., et al., "Integration of 0.25  $\mu$ m Three and Five Level Inter-connect System for High Performance ASIC", <u>1997 Proceedings Fourteenth International VMIC Conference</u>, Santa Clara, CA, June 10-12, 1997, pp.43-48. AR AS

Dobson, C.D., et al., "Advanced SiO<sub>2</sub> Planarization Using Silane and H<sub>2</sub>O<sub>2</sub>", Semiconductor International, December 1994, pp. 85-88.

McClatchie, S., et al., "Low Dielectric Constant Oxide Films Deposited Using CVD Techniques", 1998 Proceedings Fourth International DUMIC Conference, February 16-17, 1998, pp. 311-318.

Examiner

Date Considered

\*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

FORM PTO-1449 (Modified) U.S. Department of Commerce, Patent and Trademark Office					Docket No. Serial No		erial No.				
						99-102/1D					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicants					
		(Use	e several she	eets if nec	essary)	Wilbur G. Catabay et al.					
						Filing Da Herewith	te		coup 313		
				U.	S. Patent Documents						
*Exam: Initia			Document Number	Date	Name	Class	Subclas	s	Filing Da If Appropria		
4	1	AA	6,066,574	5,23/00	You et al.	438	781		11/6/98		
Ą		AB	6,051,477	4/18/00	Nam	438	404		10/22/96		
		AC	6,025,263	2/15/00	Tsai et al.	438	624		9/11/97		
,		AD	5,939,763	8/17/99	Hao et al.	257	411		9/5/96		
		AE	5,864,172	1/26/99	Kapoor et al.	257	634		8/13/96		
		AF	5,688,724	11/18/97	Yoon et al.	437	235		12/23/94		
		AG	5,470,801	11/28/95	Kapoor et al.	437	238		6/28/93		
		AH	5,364,800	11/15/94	Joyner	437	28		6/24/93		
		AI	4,771,328	9/13/88	Malaviya et al.	357	49		11/24/86		
V		AJ	3,652,331	3/28/72	Yamazaki	117	201		3/13/69		
LA	7	AK	6,153,524	11/28/00	Henley et al.	438	691		7/28/98		
				Fore	ign Patent Documents	5					
									Translation	n	
			Document Number	Date	Country	Class	Subclas	s	Yes	No	
		AL									
		AM									
		AN			<u>-</u>						
		AO									
		AP									
		0'	THER ART (In	cluding Aut	hor, Title, Date, Pe	ertinent Pa	ages, Etc	c.)			
A	10	AR			ng the Perfect Low-l 21, No. 10, Septembe					,	
LAN CAN		AS	Combustic	chiro, et al on by Additi .988, pp. 18	l., "A Study of Inhility Gases", Combustic 37-194.	oition Efformand Fla	ects for me, Vol.	Si 73	lane , No. 2,		
A	14	АТ	Silica Film Internation	s for Inter	al., "Chemical Vapor clayer Dielectric App <u>Electrochemical Soc</u> 5.	plication"	, 1999 J	oin	it		
Examir	ne£	A	$\sim$	Date Consi	dered 1/23/40						
	509; I	Draw ]	tial if refe line through th your comm	citation i	dered, whether or no f not in conformance o applicant.	t citation	is in considere	on:	formance wi		

U.S. Department of Commerce, Patent and Trademark Office					Docket No.		Serial No.			
9						99-102/1D				
INFORMATION DISCLOSURE STATEMENT BY APPLICANT						Applicants				
1	(Us	e several sh	eets if nec	essary)	Wilbur G.	Catabay	et al.			
					Filing Da Herewith	ite	Group 2813			
			U.	S. Patent Documents						
*Examiner Initial		Document Number	Date	Name	Class	Subclas	Filing Date If Appropriate			
MU	AA	6,051,073	4/18/00	Chu et al.	118	723	6/3/98			
4	AB	5,580,429	12/3/96	Chan et al.	204	192.38	6/7/95			
	AC	5,558,718	9/24/96	Leung	118	723E	4/8/94			
	AD	5,628,871	5/13/97	Shinagawa	438	514	6/24/94			
	AE	6,028,015	2/22/00	Wang et al.	438	789	3/29/99			
	AF	6,114,259	9/5/00	Sukharev et al.	438	789	7/27/99			
	AG	6,147,012	11/14/00	Sukharev et al.	438	787	11/12/99			
	AH	6,204,192	3/20/01	Zhao et al.	438	723	3/29/99			
	AI	6,232,658	5/15/01	Catabay et al.	257	701	6/30/99			
y	AJ	6,037,248	3/14/00	Ahn	438	619	6/13/97			
Vac	AK	5,675,187	10/7/97	Numata et al.	257	758	5/16/96			
			Fore	ign Patent Documents						
	<del></del>	· · · · · · · · · · · · · · · · · · ·					Translation			
	-	Document Number	Date	Country	Class	Subclas	s Yes N	Io		
	AL									
	AM									
	AN									
	AO									
	AP									
	0	THER ART (In	cluding Aut	hor, Title, Date, Pe	rtinent Pa	ages, Etc	c.)			
	AR									
	AS									
	AT									
			<del></del> -							
Examiner	W	$\chi_{-}$	Date Consi	dered (hs/o)						
*EXAMINER: MPEP 609; of this fo	Draw 1	tial if refer line through th your comm	citation i	dered, whether or no f not in conformance o applicant.	t citation and not c	is in considere	conformance with d. Include cop			

• 5					Sh	eet <u>4</u>	_ of <u>4</u> s	sheets
FORM PTO-1 U.S. Depar	Modified) of Commerce	Docket No. Serial						
73110	DWS DT	0. DI <i>aa</i> i oo:		D.V. 3.D.D	99-102/1	L	<del></del>	
INFC				BY APPLICANT	Applicant			
	(Us	e several sh	eets if nece	ssary)	Wilbur G.		et al.	
					Filing Da Herewith	te	Group 2813	
			U.S	. Patent Documents				
*Examiner Initial		Document Number	Date	Name	Class	Subclas	Filing D If Appropri	
isic	AA	5,559,367	9/24/96	Cohen et al.	257	77	7/12/94	
1	АВ	5,376,595	12/27/94	Zupancic et al.	501	12	8/28/92	
	AC	6,043,167	3/28/2000	Lee et al.	438	789	10/10/97	
	AD	5,314,845	5/24/94	Lee et al.	437	238	2/2/90	
	AE	5,915,203	6/22/99	Sengupta et al.	438	669	6/10/97	
	AF	6,054,379	4/25/2000	Yau et al.	438	623	2/11/98	
	AG	5,989,998	11/23/99	Sugahara et al.	438	623	8/28/9	7
$\overline{V}$	AH	6,215,087	04-2001	Akahori et al.	219	121.43	6/16	18
LAK	AI	6,303,047	10/16/01	Aronowitz et al.	252/1	106/287.1	, ,	
	AJ			380,43				
	AK							
			Forei	gn Patent Documents	3			
							Translatio	on
		Document Number	Date	Country	Class	Subclass	Yes	No
	AL							
	AM					_		
	AN							
	AO							
	AP							
	0	THER ART (In	cluding Auth	or, Title, Date, Pe	ertinent Pa	iges, Etc	.)	
	AR							

AS

AT

Examine

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

COPY	OF	PA	PΕ	RS
ORIGII				

Sheet	1	of	1	sheets

FORM PTO-1	449 (	Modified)	, , ,	d mandament off	Docket No	).	Serial No.		
U.S. Depar	tment	or Commerce	e, Patent ai	nd Trademark Office	99-102/1	)	10/099,641		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants					
	(Us)	e several (st	eets if nec	cessary)	Wilbur G.	Catabay	et al.		
	- 1		<b>\</b>	- 1	Filing Da	ite	Group		
	PAT	JUN 0 5 2002 48			March 15,	2002	Unknown		
		THO CHIEN ON THE	υ.	S. Patent Documents					
*Examiner Initial		Document Number	Date	Name	Class	Subclas	Filing Da If Appropria		
	AA								
	AB								
	AC								
	AD		ļ						
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								
	AK					<u> </u>			
			For	eign Patent Document	S	-			
	1		·	1		T	Translation	n T	
		Document Number	Date	Country	Class	Subclas	ss Yes	No	
M	AL	2000-267128	9/29/00	Japan	G02F	1/136	X-Abstract Only		
,	AM							ļ	
	AN								
	AO							<u> </u>	
	AP				l			<u> </u>	
	C	THER ART (Ir	ncluding Au	thor, Title, Date, P	ertinent P	ages, Et	c.)		
	AR								
	AS								
	AT								
Examiner	X	AV	Date Cons	idered 1/23/0	3				
*EXAMINER: MPEP 609; of this fo	Draw	line through	citation	idered, whether or no if not in conformance to applicant.	ot citatio e <i>and</i> not	n is in consider	conformance w ed. Include		